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|--|---------------------------------------|
| FEE TRANSMITTAL | Complete if known |
| | Application Number: 09/835,106 |
| | Filing Date: April 13, 2001 |
| | First Named Inventor: Steinberg |
| | Group Art Unit: 2839 |
| | Examiner Name: Michael C. Zarroli |
| Total Amt. of Payment: (1)+(2)+(3)= \$375 | |
| | Attorney Docket Number: Shipley 02-12 |

| METHOD OF PAYMENT (check one) | FEE CALCULATION (continued) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|-----------------|--------------------|-----------------------------------|-------------------|--|------------------|---|--------------------|--|------------------------|---|---------------------|--|-------|------------------|-------|--|------------|--------------------------|-------|--|-------|--|-------|-----------|-------|-------------------------------|-------|---|-------|--|-------|---|-------|--|-------|--|---------|---------------------|--------------|
| <p>1. The Commissioner is hereby authorized to:</p> <p><input type="checkbox"/> Charge indicated fees</p> <p><input checked="" type="checkbox"/> Charge additional fees</p> <p><input checked="" type="checkbox"/> Credit overpayments</p> <p>to the account of DANN, DORFMAN, HERRELL & SKILLMAN</p> <p>Deposit Account Number <u>04-1406</u></p> <p>2. Payment enclosed:</p> <p style="text-align: right;">Check in the amount of <u>\$375</u></p> | <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> <tr><td>Surcharge-late filing fee or oath</td><td>_____</td></tr> <tr><td>Surcharge - late provisional filing fee or cover sheet</td><td>_____</td></tr> <tr><td>Extension for response within first month</td><td>_____</td></tr> <tr><td>Extension for response within second month</td><td>_____</td></tr> <tr><td>Extension for response within third month</td><td>_____</td></tr> <tr><td>Extension for response within fourth month</td><td>_____</td></tr> <tr><td>Notice of Appeal</td><td>_____</td></tr> <tr><td>Filing a brief in support of an appeal</td><td>_____</td></tr> <tr><td>Request for oral hearing</td><td>_____</td></tr> <tr><td>Petition to revive unavoidably abandoned application</td><td>_____</td></tr> <tr><td>Petition to revive unintentionally abandoned application</td><td>_____</td></tr> <tr><td>Issue fee</td><td>_____</td></tr> <tr><td>Petitions to the Commissioner</td><td>_____</td></tr> <tr><td>Petitions related to provisional applications</td><td>_____</td></tr> <tr><td>Submission of Information Disclosure Stmt.</td><td>_____</td></tr> <tr><td>Recording each patent assignment per property</td><td>_____</td></tr> <tr><td>Other fee (specify) <u>Advance Order (10 copies)</u></td><td>_____</td></tr> <tr><td>Other fee (specify) <u>Request for Continued Examination</u></td><td style="text-align: right;">\$75.00</td></tr> <tr> <td style="text-align: right;">SUBTOTAL (3)</td> <td style="text-align: right;">\$375</td> </tr> </table> | Fee Description | Fee Paid | Surcharge-late filing fee or oath | _____ | Surcharge - late provisional filing fee or cover sheet | _____ | Extension for response within first month | _____ | Extension for response within second month | _____ | Extension for response within third month | _____ | Extension for response within fourth month | _____ | Notice of Appeal | _____ | Filing a brief in support of an appeal | _____ | Request for oral hearing | _____ | Petition to revive unavoidably abandoned application | _____ | Petition to revive unintentionally abandoned application | _____ | Issue fee | _____ | Petitions to the Commissioner | _____ | Petitions related to provisional applications | _____ | Submission of Information Disclosure Stmt. | _____ | Recording each patent assignment per property | _____ | Other fee (specify) <u>Advance Order (10 copies)</u> | _____ | Other fee (specify) <u>Request for Continued Examination</u> | \$75.00 | SUBTOTAL (3) | \$375 |
| Fee Description | Fee Paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Surcharge-late filing fee or oath | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Surcharge - late provisional filing fee or cover sheet | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extension for response within first month | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extension for response within second month | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extension for response within third month | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extension for response within fourth month | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Notice of Appeal | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Filing a brief in support of an appeal | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Request for oral hearing | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petition to revive unavoidably abandoned application | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petition to revive unintentionally abandoned application | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Issue fee | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petitions to the Commissioner | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petitions related to provisional applications | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Submission of Information Disclosure Stmt. | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Recording each patent assignment per property | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Other fee (specify) <u>Advance Order (10 copies)</u> | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Other fee (specify) <u>Request for Continued Examination</u> | \$75.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (3) | \$375 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p style="text-align: center;">FEE CALCULATION</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">1. FILING FEE</th> <th style="text-align: right;">Fee</th> </tr> <tr> <td>Utility filing fee</td> <td>_____</td> </tr> <tr> <td>Design filing fee</td> <td>_____</td> </tr> <tr> <td>Plant filing fee</td> <td>_____</td> </tr> <tr> <td>Reissue filing fee</td> <td>_____</td> </tr> <tr> <td>Provisional filing fee</td> <td>_____</td> </tr> <tr> <td style="text-align: right;">SUBTOTAL (1)</td> <td style="text-align: right;">\$0</td> </tr> </table> | 1. FILING FEE | Fee | Utility filing fee | _____ | Design filing fee | _____ | Plant filing fee | _____ | Reissue filing fee | _____ | Provisional filing fee | _____ | SUBTOTAL (1) | \$0 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1. FILING FEE | Fee | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Utility filing fee | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Design filing fee | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Plant filing fee | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Reissue filing fee | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Provisional filing fee | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (1) | \$0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>2. Claims</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <th></th> <th style="text-align: center;">Paid</th> <th style="text-align: center;">Extr</th> <th style="text-align: center;">Fee</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">33</td> <td style="text-align: center;">-</td> <td style="text-align: center;">= 0 x 9 = 0</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">5</td> <td style="text-align: center;">-</td> <td style="text-align: center;">= 0 x 42 = 0</td> </tr> <tr> <td>Multiple Dependent (First presentation)</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="text-align: right;">SUBTOTAL (2)</td> <td colspan="3" style="text-align: right;">\$0</td> </tr> </table> | | | Paid | Extr | Fee | Total Claims | 33 | - | = 0 x 9 = 0 | Independent Claims | 5 | - | = 0 x 42 = 0 | Multiple Dependent (First presentation) | | | | SUBTOTAL (2) | \$0 | | | | | | | | | | | | | | | | | | | | | | |
| | Paid | Extr | Fee | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total Claims | 33 | - | = 0 x 9 = 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Independent Claims | 5 | - | = 0 x 42 = 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Multiple Dependent (First presentation) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (2) | \$0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Submitted By:
Typed or

Printed Name Niels Haun

Reg. Number 48,488

Deposit Account User ID

Signature

Niels Haun

Date June 12, 2003

04-1406

UNITED STATES PATENT AND TRADEMARK OFFICE ACKNOWLEDGEMENT RECEIPT

Electronic Version 1.1
Stylesheet Version v1.1.1

| | | | |
|----------------------------------|---|--|--------------|
| Title of Invention | OPTICAL WAVEGUIDE SWITCH | | |
| Submission Type: | Information Disclosure Statement | | |
| Application Number: | 09/835106 | *09/835106 | |
| EFS ID: | 41461 | | |
| Server Response: | Confirmation Code | Message | |
| | JSVR1 | Successfully received the submission | |
| | ICON1 | 4209 | |
| | ISYS5 | Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmation/Application numbers at this time. They will be checked by PTO personnel later. | |
| First Named Applicant: | Dan Steinberg | | |
| Attorney Docket Number: | | | |
| Timestamp: | 2003-06-04 15:51:42 EDT | | |
| From: | us | | |
| File Listing: | Doc. Name | File Name | Size (Bytes) |
| | us-ids | optical-switch-master-usidst.xml | 908 |
| | us-ids | us-ids.dtd | 776 |
| | us-ids | us-ids.xsl | 1202 |
| | package-data | optical-switch-master-pkda.xml | 225 |
| | package-data | package-data.dtd | 2702 |
| | package-data | us-package-data.xsl | 1926 |
| | Total files size | | 7742 |
| Message Digest: | ae4ee596bdbc887f1971b071547e2dd3f79316453 | | |
| Digital Certificate Holder Name: | cn=Niels Haun,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US | | |

file://C:\Program%20Files\USPTO\epAVE\efiling\02-12\optical-switch-master-xmre.xml

6/4/

DANN, DORFMAN, HERRELL & SKILLMAN, P.C.

SUITE 2400

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HUDSON UNITED BANK
60-1482319

36095

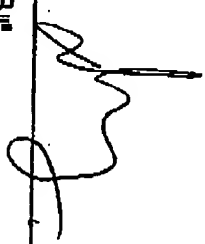
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Shipley 02-12

375.00

Docket Number: Shj 02-12
In re the Application

Dan A. Steinberg and David W. Sherrer

Application Number:
09/835,106

Filed: April 13, 2001

For: OPTICAL WAVEGUIDE SWITCH

- The following have been recd. :
- Certificate of Mailing Under 37 CFR §1.8(a)
 - Request for Continued Examination
 - Copy of Acknowledgement Receipt from Electronic IDS
 - Check in the amount of \$375.00
 - Permission to Charge Deposit Account
 - Fee calc sheet (in dup)

Patent and Trademark Office is respectfully requested to place its STAMP on the POSTAL CARD and place it in the outgoing mail.

June 12, 2003

Respectfully,
NHaun

